

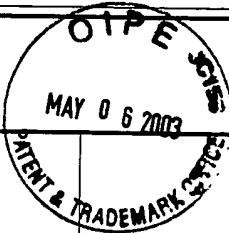
**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
721802-1010

In Re Application Of: **RIDLEY**

Serial No.  
09/698,450

Filing Date  
October 27, 2000



Examiner

Group Art Unit

Invention: **Audio Sound Quality Enhancement Apparatus**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

**Peter A. Nieves, Reg. No. 48,173**  
**Hayes Soloway P.C.**  
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**603-668-1400**

Dated: **4/30/03**

**Certificate of Mailing by First Class Mail**

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**Johanne Hryciuk**

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: RIDLEY

SERIAL NO.: 09/698,450

FILED: OCTOBER 27, 2000

FOR: AUDIO SOUND QUALITY ENHANCEMENT APPARATUS

DOCKET: 721802-1010

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-identified application, Applicant hereby attaches U.S. Patent Office Form PTO-1449, including copies of the references listed thereon together with the English language abstracts. These references were cited in the International Search Report of the corresponding PCT Patent application. A copy of the International Search Report is enclosed. The claims in the present application are believed to be patentably distinguished over these references.

This Information Disclosure Statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56(a) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of

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Serial No. 09/698,450

record submits a copy of an official communication by a international examiner in which the references were cited. The relevance to the pending U.S. patent application is that the references were cited in an international patent application on the same subject matter. However, no independent analysis of these references, the accuracy of the statement of the international examiner, or the claims of the international application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made.

The enclosed Information Disclosure Statement is being submitted within three months of the International Search Report as certified in the attached Certification for Information Disclosure Statement. Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

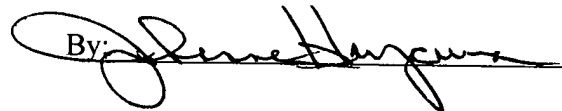
Respectfully submitted,



Peter A. Nieves  
Attorney for Applicant  
Reg. No. 48,173

**CERTIFICATE OF MAILING**

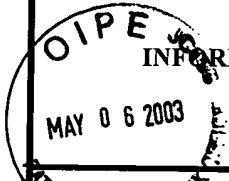
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 2, 2003 at Manchester, New Hampshire.

By: 

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**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Docket Number (Optional) <b>721802-1010</b>	Application Number <b>09/698,450</b>
Applicant(s) <b>Ridley</b>	
Filing Date <b>October 27, 2000</b>	Group Art Unit

### U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

### FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		1-255261	10/12/89	Japan w/ abstract	H01L	27/04		✓
		11-312770	11/9/99	Japan w/ abstract	H01L	23/40		✓
		7-176772	7/14/95	Japan w/ abstract	H01L	29/88		✓

### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.